

Statement showing Shareholding Pattern

Name of the Company: **Uni Abex Alloy Products Limited**
 Scrip Code, Name of the scrip, class of security: **504605, Uni Abex Alloy Products Limited, Equity**
 Quarter ended: **30th June 2013**



Partly paid-up shares	Number of partly paid-up shares	As a Percentage of total number of partly paid-up shares	As a Percentage of total number of shares of the company
Held by promoter / promoter group	0	0.00%	0.00%
Held by public	2425	0.00%	0.12%
Total	2425	0.00%	0.12%
Outstanding convertible securities	Number of outstanding securities	As a Percentage of total number of outstanding convertible securities	As a Percentage of total number of shares of the company, assuming full conversion of the convertible securities
Held by promoter / promoter group	0	0.00%	0.00%
Held by public	0	0.00%	0.00%
Total	0	0.00%	0.00%
Warrants	Number of Warrants	As a Percentage of total number of warrants	As a Percentage of total number of shares of the company, assuming full conversion of warrants
Held by promoter / promoter group	0	0.00%	0.00%
Held by public	0	0.00%	0.00%
Total	0	0.00%	0.00%
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities *	1975000		

* Out of the total paid up capital of 1975000, 2425 shares are partly paid up shares as calls on the same remain unpaid

Category code	Category of shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B) ¹	As a percentage of (A+B+C)	Number of Shares	As a Percentage
(A)	Shareholding of Promoter and Promoter Group²							
(1)	Indian							
(a)	Individuals/ Hindu Undivided Family	4	9027	9027	0.46%	0.46%	0	0.00%
(b)	Central Government/ State Government(s)	0	0	0	0.00%	0.00%	0	0.00%
(c)	Bodies Corporate	3	1243300	1243300	62.95%	62.95%	0	0.00%
(d)	Financial Institutions/ Banks	0	0	0	0.00%	0.00%	0	0.00%
(e)	Any Other (specify)	0	0	0	0.00%	0.00%	0	0.00%
	Sub-Total (A)(1)	7	1252327	1252327	63.41%	63.41%	0	0.00%
(2)	Foreign							
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)	0	0	0	0.00%	0.00%	0	0.00%
(b)	Bodies Corporate	0	0	0	0.00%	0.00%	0	0.00%
(c)	Institutions	0	0	0	0.00%	0.00%	0	0.00%
(d)	Qualified Foreign Investor	0	0	0	0.00%	0.00%	0	0.00%
(e)	Any Other (specify)	0	0	0	0.00%	0.00%	0	0.00%
	Sub-Total (A)(2)	0	0	0	0.00%	0.00%	0	0.00%
	Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)	7	1252327	1252327	63.41%	63.41%	0	0.00%
(B)	Public shareholding³							
(1)	Institutions							
(a)	Mutual Funds / UTI	1	100	0	0.01%	0.01%	0	0.00%
(b)	Financial Institutions/ Banks	5	506	0	0.03%	0.03%	0	0.00%
(c)	Central Government/ State Government(s)	0	0	0	0.00%	0.00%	0	0.00%
(d)	Venture Capital Funds	0	0	0	0.00%	0.00%	0	0.00%
(e)	Insurance Companies	0	0	0	0.00%	0.00%	0	0.00%
(f)	Foreign Institutional Investors	0	0	0	0.00%	0.00%	0	0.00%
(g)	Foreign Venture Capital Investors	0	0	0	0.00%	0.00%	0	0.00%
(h)	Qualified Foreign Investor	0	0	0	0.00%	0.00%	0	0.00%
(i)	OCB	0	0	0	0.00%	0.00%	0	0.00%
	Sub-Total (B)(1)	6	606	0	0.03%	0.03%	0	0.00%



(2)		Non-Institutions		Individuals	
(a)	Books Corporation	82	11,125	109,330	5.64%
(b)	Individuals - i. Special Shareholders ii. Regular Shareholders iii. Shareholders iv. Individual Shareholders holding nominal share capital in excess of Rs. 1 Lakh	7512	556915	310240	28.20%
(c)	Subscribed Foreign Investor	3	45647	0	0.00%
(d)	Any Other (NRI)	25	4120	4120	0.21%
(e)	Sub-Total (B)+(2)	7523	722067	483387	36.56%
(f)	Total Public Shareholding (B) = (a)+(2)+(3)+(2)	7529	722673	483387	36.59%
(g)	Sub-Total (A)+(B)	7636	1975000	1239714	100.00%
(h)	Shareholders and against Custodian and against which Depository Receipts have been issued	0	0	0	0.00%
(i)	GRAND TOTAL (A)+(B)+(C)	7636	1975000	1239714	100.00%

(1)(b)

Statement showing Shareholding of persons belonging to the category "Promoter and Promoter Group"

Sr. No.	Name of the shareholder	Number of Shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (1)(a) above)	Number of shares pledged or otherwise encumbered	As a Percentage	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (1)(a) above)	As a % Total	Number of Warrants Held	As a % Total	Number of convertible Securities Held	As a % Total	Total Shares (Including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
1	CHEMICALS AND FERRO ALLOYS PVT LTD.	155800	7.89%	0	0.00%	0.00%	0.00%	0	0.00%	0	0.00%	155800
2	DHUNISHAW MANECKJI	125	0.01%	0	0.00%	0.00%	0.00%	0	0.00%	0	0.00%	125
3	NEERAM	4802	0.24%	0	0.00%	0.00%	0.00%	0	0.00%	0	0.00%	4802
4	PROZE ADI VANI	1500	0.08%	0	0.00%	0.00%	0.00%	0	0.00%	0	0.00%	1500
5	RUSTOM BURJOR MEHTA	2600	0.13%	0	0.00%	0.00%	0.00%	0	0.00%	0	0.00%	2600
6	UNITECH FINANCE & INVESTMENTS PVT LTD	817500	41.35%	0	0.00%	0.00%	0.00%	0	0.00%	0	0.00%	817500
7	UNIVERSAL FERRO AND ALLOYS TECHNOLOGIES LTD	220000	11.67%	0	0.00%	0.00%	0.00%	0	0.00%	0	0.00%	220000
TOTAL		1332327	68.41%	0	0.00%	0.00%	0.00%	0	0.00%	0	0.00%	1332327

(1)(c)

Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of Shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (1)(a) above)	Number of Warrants Held	As a % Total	Number of convertible Securities Held	As a % Total	Total Shares (Including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
1	EDPPT D SHAI	24650	1.29%	0	0.00%	0	0.00%	24650
2	KONAREE SECURITIES PVT LTD	75000	3.80%	0	0.00%	0	0.00%	75000
TOTAL		99650	5.05%	0	0.00%	0	0.00%	99650

(1)(c)(b)

Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 3% of the total number of shares of the Company.

Sr. No.	Name of the shareholder	Number of Shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (1)(a) above)	Number of Warrants Held	As a % Total	Number of convertible Securities Held	As a % Total	Total Shares (Including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
1		0	0.00%	0	0.00%	0	0.00%	0
TOTAL		0	0.00%	0	0.00%	0	0.00%	0

(I)(d)

Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Category of Shareholders (Promoter / Public)	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	Nil	Nil	Nil	Nil
2	Nil	Nil	Nil	Nil
TOTAL		Nil	Nil	Nil

(II)(a)

Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	Nil	Nil	Nil	Nil
2	Nil	Nil	Nil	Nil
TOTAL		Nil	Nil	Nil

(II)(b)

Statement showing Holding of Depository Receipts (DRs), where underlying shares held by promoter / promoter group are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	Nil	Nil	Nil	Nil
2	Nil	Nil	Nil	Nil
TOTAL			Nil	Nil

For Uni Abex Alloy Products Limited



Milind Ashar

MILIND ASHAR
Deputy Company Secretary
&
Compliance Officer