

8<sup>th</sup> October, 2018

UAS/MSA/259

Bombay Stock Exchange Ltd.,  
25<sup>th</sup> Floor, P.J. Towers,  
Dalal Street,  
Mumbai - 400 001

Dear Sirs,

**Sub: Certification under clause 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulation, 2015.**

**Ref: Company Code No.504605.**

We are sending herewith a Certificate dated 8<sup>th</sup> October, 2018 in original, received from Mr. Kalidas Vanjpe, Practising Company Secretary, Thane, for the half year ended on 30<sup>th</sup> September, 2018

Please acknowledge the receipt.

Thanking you,

Yours faithfully,  
For Uni-Abex Alloy Products Ltd.



M.S. Ashar  
Company Secretary &  
Compliance Officer

Encl: as above

A Neterwala Group Company

Factory Plot No: 583 & 584 - A,  
Belur Industrial Area, Dharwad, Karnataka - 580 011 INDIA  
Tel: +91 836 2971320 / +91 836 2971321  
CIN: L27100MH1972PLC015950



ISO 9001:2008



**KALIDAS VANJPE**

B. Com.(Hons.), LL. B.(G), F.C.S.

Practising Company Secretary  
Corporate Legal Consultant

307, Dev Milan, Near Tip Top Plaza, L. B. S. Marg, Thane-400 604  
Cell . 9920271677 ● e-mail . kalidasvanjpe@gmail.com ● www.kalidasvanjpe.com

**CERTIFICATE**

**FOR THE HALF YEAR ENDED 30<sup>th</sup> September, 2018.**

I have examined all the Share Transfer Deeds, Memorandum of Transfers, Registers, files and other documents relating to Uni Abex Alloy Products Limited maintained by Computech Sharecap Limited, Registrars and Transfer Agents (RTA) for the said company, pertaining to transfer of Equity Shares of the company for the period from 1<sup>st</sup> April, 2018 to 30<sup>th</sup> September, 2018 for the purpose of issuing a Certificate as per Regulation 40(9) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and based on the information provided by the Company and RTA and explanation given to me, which to the best of my knowledge were necessary for the purpose of my certification, I hereby certify that the company has delivered during half year ended on 30<sup>th</sup> September, 2018

a) Share Certificates relating to the Share Transfer Deeds received during the period from 1<sup>st</sup> April, 2018 to 30<sup>th</sup> September, 2018 in respect of transfers as entered in the Memorandum of Transfers have been issued within thirty days from the date of lodgment for transfer, sub-division, consolidation, renewal, exchange or endorsement of calls /allotment monies from respective date of lodgement of each deed excepting those rejected on technical grounds.

b) Any other information: N.A.

Date : 8<sup>th</sup> October, 2018

Place: Thane

  
(Kalidas Vanjpe)  
Company Secretary  
M. No. FCS 7132  
CP No. 3413

