

| General information about company | |
|--|---------------------------------|
| Scrip code | 504605 |
| Name of the company | UNI ABEX ALLOY PRODUCTS LIMITED |
| Whether company is SME | No |
| Class of Security | Equity Shares |
| Type of report | Quarterly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 30-09-2016 |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | |
| Shareholding pattern filed under | Regulation 31 (1) (b) |



| Sr. No. | Particular | Yes/No |
|---------|--|--------|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities ? | No |
| 3 | Whether the Listed Entity has issued any Warrants ? | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No |
| 7 | Whether company has equity shares with differential voting rights? | No |



| Table I - Summary Statement holding of specified securities | | | | | | | | | | | |
|---|--------------------------------|----------------------------|--|--|---|--|---|---|-------------|---------|-------------------------|
| Category (I) | Category of shareholder (II) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+ (V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of (A+B+C) |
| | | | | | | | | Class eg: X | Class eg: y | Total | |
| (A) | Promoter & Promoter Group | 6 | 1251357 | | | 1251357 | 63.36 | 1251357 | | 1251357 | 63.36 |
| (B) | Public | 6930 | 723643 | | | 723643 | 36.64 | 723643 | | 723643 | 36.64 |
| (C) | Non Promoter-Non Public | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | |
| | Total | 6936 | 1975000 | | | 1975000 | | 1975000 | | 1975000 | 100 |



| Table I - Summary Statement holding of specified securities | | | | | | | | | | |
|---|--------------------------------|---|--|--|---|----------------------------------|---------------------------------|---|---------------------------------|---|
| Category (I) | Category of shareholder (II) | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
| | | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| (A) | Promoter & Promoter Group | | | | 63.36 | | | | | 1251357 |
| (B) | Public | | | | 36.64 | | | | | 501296 |
| (C) | Non Promoter-Non Public | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | |
| | Total | | | | | | | | | 1752653 |



| Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | | |
|--|---|----------------------------|--|--|---|--|---|---|-------------|---------|-------------------------------------|
| Sr. | Category & Name of the Shareholders (I) | Nos. Of shareholders (III) | No. of fully paid up equity shares held (IV) | No. Of Partly paid-up equity shares held (V) | No. Of shares underlying Depository Receipts (VI) | Total nos. shares held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | |
| | | | | | | | | No of Voting (XIV) Rights | | | Total as a % of Total Voting rights |
| | | | | | | | | Class eg: X | Class eg: y | Total | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | | |
| (1) | Indian | | | | | | | | | | |
| (a) | Individuals/Hindu undivided Family | 3 | 8057 | | | 8057 | 0.41 | 8057 | | 8057 | 0.41 |
| (d) | Any Other (specify) | 3 | 1243300 | | | 1243300 | 62.95 | 1243300 | | 1243300 | 62.95 |
| Sub-Total (A)(1) | | 6 | 1251357 | | | 1251357 | 63.36 | 1251357 | | 1251357 | 63.36 |
| (2) | Foreign | | | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | 6 | 1251357 | | | 1251357 | 63.36 | 1251357 | | 1251357 | 63.36 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | | | |
| (1) | Institutions | | | | | | | | | | |
| (a) | Mutual Funds | 1 | 100 | | | 100 | 0.01 | 100 | | 100 | 0.01 |
| (f) | Financial Institutions/ Banks | 5 | 506 | | | 506 | 0.03 | 506 | | 506 | 0.03 |
| Sub-Total (B)(1) | | 6 | 606 | | | 606 | 0.03 | 606 | | 606 | 0.03 |
| (3) | Non-institutions | | | | | | | | | | |
| (a(i)) | Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | 6851 | 551507 | | | 551507 | 27.92 | 551507 | | 551507 | 27.92 |
| (a(ii)) | Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | 5 | 144468 | | | 144468 | 7.31 | 144468 | | 144468 | 7.31 |
| (e) | Any Other (specify) | 68 | 27062 | | | 27062 | 1.37 | 27062 | | 27062 | 1.37 |
| Sub-Total (B)(3) | | 6924 | 723037 | | | 723037 | 36.61 | 723037 | | 723037 | 36.61 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | 6930 | 723643 | | | 723643 | 36.64 | 723643 | | 723643 | 36.64 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | | | |
| Total (A+B+C2) | | 6936 | 1975000 | | | 1975000 | 100 | 1975000 | | 1975000 | 100 |
| Total (A+B+C) | | 6936 | 1975000 | | | 1975000 | | 1975000 | | 1975000 | 100 |



| Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | | |
|--|---|--|--|--|----------------------------------|---------------------------------|---|---------------------------------|---|
| Sr. | No. Of Shares Underlying Outstanding convertible securities (X) | No. of Shares Underlying Outstanding Warrants (Xi) | No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+ (X) As a % of (A+B+C2) | Number of Locked in shares (XII) | | Number of Shares pledged or otherwise encumbered (XIII) | | Number of equity shares held in dematerialized form (XIV) |
| | | | | | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | |
| A | Table II - Statement showing shareholding pattern of the Promoter and Promoter Group | | | | | | | | |
| (1) | Indian | | | | | | | | |
| (a) | | | | 0.41 | | | | | 8057 |
| (d) | | | | 62.95 | | | | | 1243300 |
| Sub-Total (A) (1) | | | | 63.36 | | | | | 1251357 |
| (2) | Foreign | | | | | | | | |
| Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2) | | | | 63.36 | | | | | 1251357 |
| B | Table III - Statement showing shareholding pattern of the Public shareholder | | | | | | | | |
| (1) | Institutions | | | | | | | | |
| (a) | | | | 0.01 | | | | | 0 |
| (f) | | | | 0.03 | | | | | 0 |
| Sub-Total (B) (1) | | | | 0.03 | | | | | 0 |
| (3) | Non-institutions | | | | | | | | |
| (a(i)) | | | | 27.92 | | | | | 331640 |
| (a(ii)) | | | | 7.31 | | | | | 144468 |
| (e) | | | | 1.37 | | | | | 25188 |
| Sub-Total (B) (3) | | | | 36.61 | | | | | 501296 |
| Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3) | | | | 36.64 | | | | | 501296 |
| C | Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder | | | | | | | | |
| Total (A+B+C2) | | | | 100 | | | | | 1752653 |
| Total (A+B+C) | | | | | | | | | 1752653 |



| Individuals/Hindu undivided Family | | | | |
|--|--------------------|------------------|---------------------|---------------------------------------|
| Serial No. | 1 | 2 | 3 | |
| Name of the Shareholders (I) | Feroze D Neterwala | Firoze Adi Vakil | Rustom Burjor Mehta | Click here to go back |
| PAN (II) | AAAPN7274P | AAAPV8980G | ACLPM6809J | Total |
| No. of fully paid up equity shares held (IV) | 4927 | 530 | 2600 | 8057 |
| No. Of Partly paid-up equity shares held (V) | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 4927 | 530 | 2600 | 8057 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 0.25 | 0.03 | 0.13 | 0.41 |
| Number of Voting Rights held in each class of securities (IX) | | | | |
| Class eg: X | 4927 | 530 | 2600 | 8057 |
| Class eg: y | | | | |
| Total | 4927 | 530 | 2600 | 8057 |
| Total as a % of Total Voting rights | 0.25 | 0.03 | 0.13 | 0.41 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2) | 0.25 | 0.03 | 0.13 | 0.41 |
| Number of Locked in shares (XII) | | | | |
| No. (a) | | | | |
| As a % of total Shares held (b) | | | | |
| Number of Shares pledged or otherwise encumbered (XIII) | | | | |
| No. (a) | | | | |
| As a % of total Shares held (b) | | | | |
| Number of equity shares held in dematerialized form (XIV) | 4927 | 530 | 2600 | 8057 |
| Reason for not providing PAN | | | | |

| | | | | |
|------------------------------|--|--|--|--|
| Reason for not providing PAN | | | | |
|------------------------------|--|--|--|--|



| Any Other (specify) | | | | |
|--|--|--|--|---------------------------------------|
| Serial No. | 1 | 2 | 3 | |
| Category | Bodies Corporate | Bodies Corporate | Bodies Corporate | Click here to go back |
| Name of the Shareholders (I) | Chemicals & Ferro Alloys Private Limited | Unitel Finance & Investments Private Limited | Universal Ferro & Allied Chemicals Limited | |
| PAN (II) | AAACC4508N | AAACU0769R | AAACU1697G | Total |
| No. of the Shareholders (I) | 1 | 1 | 1 | 3 |
| No. of fully paid up equity shares held (IV) | 155800 | 817500 | 270000 | 1243300 |
| No. Of Partly paid-up equity shares held (V) | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 155800 | 817500 | 270000 | 1243300 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 7.89 | 41.39 | 13.67 | 62.95 |
| Number of Voting Rights held in each class of securities (IX) | | | | |
| Class eg: X | 155800 | 817500 | 270000 | 1243300 |
| Class eg: y | | | | |
| Total | 155800 | 817500 | 270000 | 1243300 |
| Total as a % of Total Voting rights | 7.89 | 41.39 | 13.67 | 62.95 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 7.89 | 41.39 | 13.67 | 62.95 |
| Number of Locked in shares (XII) | | | | |
| No. (a) | | | | |
| As a % of total Shares held (b) | | | | |
| Number of Shares pledged or otherwise encumbered (XIII) | | | | |
| No. (a) | | | | |
| As a % of total Shares held (b) | | | | |

| | | | | |
|---|--------|--------|--------|---------|
| Number of equity shares held in dematerialized form (XIV) | 155800 | 817500 | 270000 | 1243300 |
| Reason for not providing PAN | | | | |



| Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | | | | | | |
|--|---------------------------|----------------------------|------------|-------------------------|--------------|-----------------------|
| Serial No. | 1 | 2 | 3 | 4 | 5 | |
| Name of the Shareholders (I) | Bhanu Satishchandra Doshi | Hitesh Satishchandra Doshi | Rajiv Garg | Dhiren Shevantilal Shah | Dipti D Shah | Click here to go back |
| PAN (II) | AAEPD4931B | AACPD8612R | ADPPG1269B | AACHD9901M | AAEPS0924P | Total |
| No. of fully paid up equity shares held (IV) | 28779 | 24686 | 22000 | 35000 | 33003 | 143468 |
| No. Of Partly paid-up equity shares held (V) | | | | | | |
| No. Of shares underlying Depository Receipts (VI) | | | | | | |
| Total nos. shares held (VII) = (IV)+ (V)+ (VI) | 28779 | 24686 | 22000 | 35000 | 33003 | 143468 |
| Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | 1.46 | 1.25 | 1.11 | 1.77 | 1.67 | 7.26 |
| Number of Voting Rights held in each class of securities (IX) | | | | | | |
| Class eg: X | 28779 | 24686 | 22000 | 35000 | 33003 | 143468 |
| Class eg:y | | | | | | |
| Total | 28779 | 24686 | 22000 | 35000 | 33003 | 143468 |
| Total as a % of Total Voting rights | 1.46 | 1.25 | 1.11 | 1.77 | 1.67 | 7.26 |
| No. Of Shares Underlying Outstanding convertible securities (X) | | | | | | |
| No. of Shares Underlying Outstanding Warrants (Xi) | | | | | | |
| No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) | | | | | | |
| Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | 1.46 | 1.25 | 1.11 | 1.77 | 1.67 | 7.26 |
| Number of Locked in shares (XII) | | | | | | |
| No. (a) | | | | | | |
| As a % of total Shares held (b) | | | | | | |
| Number of equity shares held in dematerialized form (XIV) | 28779 | 24686 | 22000 | 35000 | 33003 | 143468 |
| Reason for not providing PAN | | | | | | |
| Reason for not providing PAN | | | | | | |



