

Statement showing Shareholding Pattern

Name of the Company: **Uni Abex Alloy Products Limited**
 Scrip Code, Name of the scrip, class of security: **504605, Uni Abex Alloy Products Limited, Equity**
 Quarter ended: **30th September 2012**

Partly paid-up shares	Number of partly paid-up shares	As a Percentage of total number of partly paid-up shares	As a Percentage of total number of shares of the company
Held by promoter / promoter group	0	0.00%	0.00%
Held by public	2425	0.00%	0.12%
Total	2425	0.00%	0.12%
Outstanding convertible securities	Number of outstanding securities	As a Percentage of total number of outstanding convertible securities	As a Percentage of total number of shares of the company, assuming full conversion of the convertible securities
Held by promoter / promoter group	0	0.00%	0.00%
Held by public	0	0.00%	0.00%
Total	0	0.00%	0.00%
Warrants	Number of Warrants	As a Percentage of total number of warrants	As a Percentage of total number of shares of the company, assuming full conversion of warrants
Held by promoter / promoter group	0	0.00%	0.00%
Held by public	0	0.00%	0.00%
Total	0	0.00%	0.00%
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities *	1975000		

* Out of the total paid up capital of 1975000, 2425 shares are partly paid up shares as calls on the same remain unpaid

Category code	Category of shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B) ¹	As a percentage of (A+B+C)	Number of Shares	As a Percentage
(A)	Shareholding of Promoter and Promoter Group²							
(1)	Indian							
(a)	Individuals/ Hindu Undivided Family	4	9888	9888	0.50%	0.50%	0	0.00%
(b)	Central Government/ State Government(s)	0	0	0	0.00%	0.00%	0	0.00%
(c)	Bodies Corporate	3	1243300	1243300	62.95%	62.95%	0	0.00%
(d)	Financial Institutions/ Banks	0	0	0	0.00%	0.00%	0	0.00%
(e)	Any Other (specify)	0	0	0	0.00%	0.00%	0	0.00%
	Sub-Total (A)(1)	7	1253188	1253188	63.45%	63.45%	0	0.00%
(2)	Foreign							
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)	0	0	0	0.00%	0.00%	0	0.00%
(b)	Bodies Corporate	0	0	0	0.00%	0.00%	0	0.00%
(c)	Institutions	0	0	0	0.00%	0.00%	0	0.00%
(d)	Qualified Foreign Investor	0	0	0	0.00%	0.00%	0	0.00%
(e)	Any Other (specify)	0	0	0	0.00%	0.00%	0	0.00%
	Sub-Total (A)(2)	0	0	0	0.00%	0.00%	0	0.00%
	Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2)	7	1253188	1253188	63.45%	63.45%	0	0.00%
(B)	Public shareholding³							
(1)	Institutions							
(a)	Mutual Funds / UTI	1	100	0	0.01%	0.01%	0	0.00%
(b)	Financial Institutions/ Banks	5	506	0	0.03%	0.03%	0	0.00%
(c)	Central Government/ State Government(s)	0	0	0	0.00%	0.00%	0	0.00%
(d)	Venture Capital Funds	0	0	0	0.00%	0.00%	0	0.00%
(e)	Insurance Companies	0	0	0	0.00%	0.00%	0	0.00%
(f)	Foreign Institutional Investors	0	0	0	0.00%	0.00%	0	0.00%
(g)	Foreign Venture Capital Investors	0	0	0	0.00%	0.00%	0	0.00%
(h)	Qualified Foreign Investor	0	0	0	0.00%	0.00%	0	0.00%
(i)	OCB	0	0	0	0.00%	0.00%	0	0.00%
	Sub-Total (B)(1)	6	606	0	0.03%	0.03%	0	0.00%
(2)	Non-institutions							
(a)	Bodies Corporate	93	69196	67074	3.50%	3.50%	0	0.00%
(b)	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 1 lakh.	7708	569347	329057	28.83%	28.83%	0	0.00%
	ii. Individual shareholders holding nominal share capital in excess of Rs. 1 lakh.	2	78100	78100	3.95%	3.95%	0	0.00%
(c)	Qualified Foreign Investor	0	0	0	0.00%	0.00%	0	0.00%
(d)	Any Other (NRI)	24	4563	4563	0.23%	0.23%	0	0.00%
	Sub-Total (B)(2)	7827	721206	478794	36.52%	36.52%	0	0.00%
	Total Public Shareholding (B) = (B)(1)+(B)(2)	7833	721812	478794	36.55%	36.55%	0	0.00%
	TOTAL (A)+(B)	7840	1975000	1731982	100.00%	100.00%	0	0.00%
(C)	Shares held by Custodians and against which Depository Receipts have been issued							
		0	0	0	0.00%	0.00%	0	0.00%
	GRAND TOTAL (A)+(B)+(C)	7840	1975000	1731982	100.00%	100.00%	0	0.00%



Statement showing Shareholding of persons belonging to the category "Promoter and Promoter Group"

(U)(b)

Sr. No.	Name of the shareholder	Number of shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (1)(a) above)	Number of shares pledged or otherwise encumbered	As a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (1)(a) above)	Number of Warrants Held	As a % total number of warrants of the same class	Number of Convertible Securities Held	As a % total number of convertible securities of the same class	Total Shares (including underlying shares assuming full conversion of warrants and securities) as a % of diluted share capital
1	CHEMICALS AND FERRO ALLOYS PVT. LTD.	1556001	7.85%	0	0.00%	0	0.00%	0	0.00%	1556001
2	DHUNJISHAW MANECKJI NETERWALA	125	0.01%	0	0.00%	0	0.00%	0	0.00%	125
3	FEROZE DHUNJISHAW NETERWALA	4802	0.24%	0	0.00%	0	0.00%	0	0.00%	4802
4	FIROZE ADI VAKIL	2361	0.12%	0	0.00%	0	0.00%	0	0.00%	2361
5	RUSTOM BURJOR MEHTA	2600	0.13%	0	0.00%	0	0.00%	0	0.00%	2600
6	UNITEL FINANCE & INVESTMENTS PVT. LTD.	817500	41.39%	0	0.00%	0	0.00%	0	0.00%	817500
7	UNIVERSAL FERRO AND ALLOYED CHEMICALS LTD.	270000	13.67%	0	0.00%	0	0.00%	0	0.00%	270000
TOTAL		1253188	63.45%	0	0.00%	0	0.00%	0	0.00%	1253188



(I)(c)(i) **Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares**

Sr. No.	Name of the shareholder	Number of shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	Number of Warrants Held	As a % total number of warrants of the same class	Number of Convertible Securities Held	As a % total number of convertible securities of the same class	Total Shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
1	DHIREN SHEVANTILAL SHAH	65000	3.29%	0	0.00%	0	0.00%	65000
2	MONEYBEE SECURITIES PVT LTD	35000	1.77%	0	0.00%	0	0.00%	35000
		100000	5.06%	0	0.00%	0	0.00%	100000

(I)(c)(ii) **Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the Company.**

Sr. No.	Name of the shareholder	Number of shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)	Number of Warrants Held	As a % total number of warrants of the same class	Number of Convertible Securities Held	As a % total number of convertible securities of the same class	Total Shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
1	Nil	0	0.00%	0	0.00%	0	0.00%	0
		0	0.00%	0	0.00%	0	0.00%	0

(I)(d) **Statement showing details of locked-in shares**

Sr. No.	Name of the shareholder	Category of Shareholders (Promoter / Public)	Number of locked-in shares	Locked-in shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)
1	Nil	Nil	Nil	Nil
2	Nil	Nil	Nil	Nil
		Nil	Nil	Nil

(II)(a) **Statement showing details of Depository Receipts (DRs)**

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)
1	Nil	Nil	Nil	Nil
2	Nil	Nil	Nil	Nil
		Nil	Nil	Nil

(II)(b) **Statement showing Holding of Depository Receipts (DRs), where underlying shares held by promoter / promoter group are in excess of 1% of the total number of shares**

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above)
1	Nil	Nil	Nil	Nil
2	Nil	Nil	Nil	Nil
		Nil	Nil	Nil

For Uni Abex Alloy Products Limited

Pangra Akhiani
Company Secretary

