

**Uni Abex Alloy Products Limited**

H.O. & Regd. Office : Liberty Building, Sir Vithaldas Thackersey  
Marg, Mumbai 400 020 Tel. : +91-22-22032797 / 22091021  
Fax : +91-22-22082113 E-mail : companysecretary@uniabex.com

CIN: L27100MH1972PLC015950

Web site: www.uniabex.com

UAS/MSA/370

4<sup>th</sup> April, 2014

The Stock Exchange, Mumbai,  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai 400 001

Dear Sirs,

Ref: Shareholding Pattern under Clause 35 of Listing Agreement in annexure II.

Sub: Shareholding Pattern for the quarter ended 31<sup>st</sup> March, 2014

We submit herewith Shareholding Pattern under Clause 35 of Listing  
Agreement in annexure II for the quarter ended 31<sup>st</sup> March, 2014.

Thanking you,

Yours faithfully,  
For UNI ABEX ALLOY PRODUCTS LIMITED



M. S. ASHAR  
COMPANY SECRETARY &  
COMPLIANCE OFFICER

Encl: As above.

A Neterwala Group Company

Factory : Mullabaug, S. V. Road, Thane - 400 610.  
Tel.: +91 22 2584 6800 Fax : +91 22 2584 6900.



**Statement showing Shareholding Pattern**

Name of the Company: **Uni Abex Alloy Products Limited**  
 Scrip Code, Name of the scrip, class of security: **504605, Uni Abex Alloy Products Limited, Equity**  
 Quarter ended: **31st March 2014**

Partly paid-up shares	Number of partly paid-up shares	As a Percentage of total number of partly paid-up shares	As a Percentage of total number of shares of the company
Held by promoter / promoter group	0	0.00%	0.00%
Held by public	1500	0.00%	0.08%
<b>Total</b>	<b>1500</b>	<b>0.00%</b>	<b>0.08%</b>
Outstanding convertible securities	Number of outstanding securities	As a Percentage of total number of outstanding convertible securities	As a Percentage of total number of shares of the company, assuming full conversion of the convertible securities
Held by promoter / promoter group	0	0.00%	0.00%
Held by public	0	0.00%	0.00%
<b>Total</b>	<b>0</b>	<b>0.00%</b>	<b>0.00%</b>
Warrants	Number of Warrants	As a Percentage of total number of warrants	As a Percentage of total number of shares of the company, assuming full conversion of warrants
Held by promoter / promoter group	0	0.00%	0.00%
Held by public	0	0.00%	0.00%
<b>Total</b>	<b>0</b>	<b>0.00%</b>	<b>0.00%</b>
Total paid-up capital of the company, assuming full conversion of warrants and convertible securities *	<b>1975000</b>		

\* Out of the total paid up capital of 1975000, 1500 shares are partly paid up shares as calls on the same remain unpaid

Category code	Category of shareholder	Number of shareholders	Total number of shares	Number of shares held in dematerialized form	Total shareholding as a percentage of total number of shares		Shares pledged or otherwise encumbered	
					As a percentage of (A+B) <sup>1</sup>	As a percentage of (A+B+C)	Number of Shares	As a Percentage
<b>(A)</b>	<b>Shareholding of Promoter and Promoter Group<sup>2</sup></b>							
<b>(1)</b>	<b>Indian</b>							
(a)	Individuals/ Hindu Undivided Family	4	8027	8027	0.41%	0.41%	0	0.00%
(b)	Central Government/ State Government(s)	0	0	0	0.00%	0.00%	0	0.00%
(c)	Bodies Corporate	3	1243300	1243300	62.95%	62.95%	0	0.00%
(d)	Financial Institutions/ Banks	0	0	0	0.00%	0.00%	0	0.00%
(e)	Any Other (specify)	0	0	0	0.00%	0.00%	0	0.00%
	<b>Sub-Total (A)(1)</b>	<b>7</b>	<b>1251327</b>	<b>1251327</b>	<b>63.36%</b>	<b>63.36%</b>	<b>0</b>	<b>0.00%</b>
<b>(2)</b>	<b>Foreign</b>							
(a)	Individuals (Non-Resident Individuals/ Foreign Individuals)	0	0	0	0.00%	0.00%	0	0.00%
(b)	Bodies Corporate	0	0	0	0.00%	0.00%	0	0.00%
(c)	Institutions	0	0	0	0.00%	0.00%	0	0.00%
(d)	Qualified Foreign Investor	0	0	0	0.00%	0.00%	0	0.00%
(e)	Any Other (specify)	0	0	0	0.00%	0.00%	0	0.00%
	<b>Sub-Total (A)(2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.00%</b>	<b>0.00%</b>	<b>0</b>	<b>0.00%</b>
	<b>Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)</b>	<b>7</b>	<b>1251327</b>	<b>1251327</b>	<b>63.36%</b>	<b>63.36%</b>	<b>0</b>	<b>0.00%</b>
<b>(B)</b>	<b>Public shareholding<sup>3</sup></b>							
<b>(1)</b>	<b>Institutions</b>							
(a)	Mutual Funds / UTI	1	100	0	0.01%	0.01%	0	0.00%
(b)	Financial Institutions/ Banks	5	506	0	0.03%	0.03%	0	0.00%
(c)	Central Government/ State Government(s)	0	0	0	0.00%	0.00%	0	0.00%
(d)	Venture Capital Funds	0	0	0	0.00%	0.00%	0	0.00%
(e)	Insurance Companies	0	0	0	0.00%	0.00%	0	0.00%
(f)	Foreign Institutional Investors	0	0	0	0.00%	0.00%	0	0.00%
(g)	Foreign Venture Capital Investors	0	0	0	0.00%	0.00%	0	0.00%
(h)	Qualified Foreign Investor	0	0	0	0.00%	0.00%	0	0.00%
(i)	OCB	0	0	0	0.00%	0.00%	0	0.00%
	<b>Sub-Total (B)(1)</b>	<b>6</b>	<b>606</b>	<b>0</b>	<b>0.03%</b>	<b>0.03%</b>	<b>0</b>	<b>0.00%</b>





(2)	Non-Institutions								
(a)	Bodias Corporate	86	90493	84483	4.58%	4.58%	0	0.00%	
(b)	Individuals -								
	i. Individual shareholders holding nominal share equivalent to Rs. 1 lakh.	7393	569077	335999	28.84%	28.84%	0	0.00%	
	ii. Individual shareholders holding nominal share capital in excess of Rs. 1	3	58934	58934	2.98%	2.98%	0	0.00%	
(c)	Qualified Foreign Investor	0	0	0	0.00%	0.00%	0	0.00%	
(d)	Any Other (NRI)	24	3983	3983	0.10%	0.10%	0	0.00%	
	Sub-Total (B)(2)	7806	723067	407384	36.61%	36.61%	0	0.00%	
	Total Public Shareholding (B) = (B)(1)+(B)(2)	7812	723073	407384	36.64%	36.64%	0	0.00%	
(C)	TOTAL (A)+(B)	7819	1976000	1738711	100.00%	100.00%	0	0.00%	
	Shares held by promoters against which Depository Receipts have been issued	0	0	0	0.00%	0.00%	0	0.00%	
	GRAND TOTAL (A)+(B)+(C)	7819	1976000	1738711	100.00%	100.00%	0	0.00%	

(1)(b) Statement showing Shareholding of persons belonging to the category "Promoter and Promoter Group".

Sr. No.	Name of the shareholder	Number of shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (1)(a) above)	Number of shares pledged or otherwise encumbered	As a Percentage	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (1)(a) above)	Number of Warrants Held	As a % total number of warrants of the same class	Number of Convertible Securities Held	As a % total number of convertible securities of the same class	Total Shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
1	CHEMICALS AND FERRO METALS LTD.	155800	7.69%	0	0.00%	0.00%	0	0.00%	0	0.00%	155800
2	OHUSIYAW PRIMECCI METERRALA	125	0.01%	0	0.00%	0.00%	0	0.00%	0	0.00%	125
3	FEROZE DHUNJISHAW METERRALA	4002	0.24%	0	0.00%	0.00%	0	0.00%	0	0.00%	4002
4	FIROZE ADI VAGEL	500	0.03%	0	0.00%	0.00%	0	0.00%	0	0.00%	500
5	RUSTOH BURJOR HERTA INVESTMENTS PVT LTD	2600	0.13%	0	0.00%	0.00%	0	0.00%	0	0.00%	2600
6	UNITEL FINANCE & INVESTMENTS PVT LTD	817500	41.39%	0	0.00%	0.00%	0	0.00%	0	0.00%	817500
7	UNIVERSAL FERRO AND ALLEN CHEMICALS LTD.	270000	13.67%	0	0.00%	0.00%	0	0.00%	0	0.00%	270000
TOTAL		1251327	63.26%	0	0.00%	0.00%	0	0.00%	0	0.00%	1251327

(1)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than 1% of the total number of shares

Sr. No.	Name of the shareholder	Number of shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (1)(a) above)	Number of Warrants Held	As a % total number of warrants of the same class	Number of Convertible Securities Held	As a % total number of convertible securities of the same class	Total Shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
1	DIPTI D SHAH FIKQUEST SECURITIES PVT LTD - CLIENT BENEFICIARY	33441	1.69%	0	0.00%	0	0.00%	33441
2	M/C	44500	2.25%	0	0.00%	0	0.00%	44500
		77941	3.95%	0	0.00%	0	0.00%	77941

(1)(c)(ii) Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public" and holding more than 5% of the total number of shares of the Company.

Sr. No.	Name of the shareholder	Number of shares	Shares as a percentage of total number of shares (i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (1)(a) above)	Number of Warrants Held	As a % total number of warrants of the same class	Number of Convertible Securities Held	As a % total number of convertible securities of the same class	Total Shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital
1		0	0.00%	0	0.00%	0	0.00%	0
TOTAL		0	0.00%	0	0.00%	0	0.00%	0



(I)(d)

Statement showing details of locked-in shares

Sr. No.	Name of the shareholder	Category of Shareholders (Promoter / Public)	Number of locked-in shares	Locked-in shares as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	Nil	Nil	Nil	Nil
2	Nil	Nil	Nil	Nil
<b>TOTAL</b>		Nil	Nil	Nil

(II)(a)

Statement showing details of Depository Receipts (DRs)

Sr. No.	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of outstanding DRs	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	Nil	Nil	Nil	Nil
2	Nil	Nil	Nil	Nil
<b>TOTAL</b>		Nil	Nil	Nil

(II)(b)

Statement showing Holding of Depository Receipts (DRs), where underlying shares held by promoter / promoter group are in excess of 1% of the total number of shares

Sr. No.	Name of the DR Holder	Type of outstanding DR (ADRs, GDRs, SDRs, etc.)	Number of shares underlying outstanding DRs	Shares underlying outstanding DRs as a percentage of total number of shares {i.e., Grand Total (A)+(B)+(C) indicated in Statement at para (I)(a) above}
1	Nil	Nil	Nil	Nil
2	Nil	Nil	Nil	Nil
<b>TOTAL</b>			Nil	Nil



For Uni Abex Alloy Products Limited

A handwritten signature in black ink, appearing to read "Milind Ashar".

Milind Ashar

Company Secretary & Compliance Officer